

2018 | F | I | A | S | I |

FIXED INCOME ANALYSTS SOCIETY, INC.

23rd Annual Hall of Fame Induction Ceremony & Dinner

June 14, 2018
The Roosevelt Hotel, New York City

Inductees:

John "Mac" McQuown

Co-founder of Diversified Credit Investments

Mary J. Miller

Former Under Secretary for Domestic Finance at the U.S. Treasury

Former Director of Fixed Income at T. Rowe Price Group

Mark R. Shenkman

Founder and President of Shenkman Capital Management, Inc.

J.P.Morgan

J.P. Morgan is proud to sponsor the **2018 Hall of Fame Induction Ceremony and Dinner** and supports the FIASI mission to provide educational and networking benefits to its members and the Fixed Income community.



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FIXED INCOME ANALYSTS SOCIETY, INC.

HALL OF FAME

In 1995, the Fixed Income Analysts Society established a Hall of Fame to recognize the lifetime achievements of outstanding practitioners in the advancement of the analysis of fixed income securities and portfolios. Inductees will have made major contributions to the advancement of fixed-income analysis and portfolio management. These contributions may be academic, business related or FIASI-related. The Board of Directors determines the annual inductees.

Martin L. Leibowitz, Ph.D. was selected as the first inductee for his work facilitating the development of mortgage- backed securities, fixed income indices, zero coupon bonds, portfolio dedication and immunization and bond portfolio measurement tools. Over the past 23 years 52 additional members have joined him as members of FIASI's Hall of Fame.

FIXED INCOME HALL OF FAME INDUCTEES

2018

John "Mac" McQuown
Mary J. Miller
Mark R. Shenkman

2017

Dana M. Emery
Jeffrey E. Gundlach

2016

Edward C. "Ned" Johnson 3rd
Howard Marks

2015

Michael R. Bloomberg

2014

Curtis Arledge
Joyce Chang
Harry Markowitz, Ph. D.

2013

Jim Grant
Rick Rieder

2012

Bob Auwaerter
Lim Chow Kiat
Glenn Reynolds

2011

Mohamed A. El-Erian, Ph.D.
John D. Finnerty, Ph.D.

2010

Kitty Yoh
Bob Litterman
Bob Levine

2009

Keith Anderson
Laurie Goodman
Jonathan Hull, Ph.D.

2007

Margaret Cannella
S. Kenneth Leech
Robert C. Merton, Ph.D.

2006

Angelo Mozilo

2005

Laurence Fink

2004

Patricia L. Cook
Robert A. Jarrow, Ph.D.
Dexter Senft

2003

Jack V. Malvey, CFA
Leo C. O'Neill

2002

Frank J. Fabozzi, Ph.D., CFA, CPA
Abner Goldstine
Oldrich A. Vasicek, Ph.D.

2001

Edward I. Altman, Ph.D.
Lewis S. Ranieri
Eunice Reich-Berman, CFA

2000

Martin S. Fridson, CFA
Daniel J. Fuss, CFA, CIC
Harold H. Goldberg

1999

John C. Bogle
Richard S. Wilson

1998

H. Russell Fraser
Wayne D. Lisky

1997

Andrew J. Kalotay, Ph.D.
Henry Kaufman, Ph.D.
Sidney Homer

1996

Fischer Black, Ph.D.
William H. Gross

1995

Martin L. Leibowitz, Ph.D.

Hall
of Fame



“Modern finance is based primarily on scientific reasoning guided by theory, not subjectivity and speculation.”

JOHN “MAC” McQUOWN

Dimensional Fund Advisors congratulates Mac McQuown, a founding board member, and the other 2018 FIASI Hall of Fame inductees.

Thank you, Mac, for your contributions to financial science and to Dimensional.



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FIXED INCOME ANALYSTS SOCIETY, INC.



JOHN “MAC” MCQUOWN

Co-founder of Diversified Credit Investments

Throughout his long career John “Mac” McQuown has been involved with many entrepreneurial endeavors. Notable among these are the following:

Dimensional Fund Advisors, Austin TX, co-founder and Director, 1981-- present: created “second generation passively managed” equity funds, including the first small-cap and value equity investment strategies via registered mutual funds. These funds, and separate accounts, invest in over 20 thousand equities domiciled in over 30 nations; proprietary distribution through fee-only independent advisors in U.S., Canada, U.K., Europe, Chile, Australia, Singapore, Hong Kong, and Japan. Asset under management (summer of 2017): \$518 billion.

Diversified Credit Investments, San Francisco, co-founder and Director, 2004 – present: investment manager of “super-diversified high Sharpe ratio” portfolios of corporate credit risk for major financial institutions around the globe, utilizing analytics evolved from options pricing and modern portfolio theories.

Stone Edge Farm Estate Vineyards and Winery, Carriger Road, Sonoma, CA, Co-founded with wife Leslie in 1995: grower and vintner of organically farmed Bordeaux-styled red table wines, olive oil, heirloom vegetables. Includes Silver Cloud Vineyard, and “private” restaurant, EDGE, in downtown Sonoma for wine clientele.

Visa Advisors (including dba eBond Advisors), New York City: co-founder and director 2010 to present: Devised a new form of corporate bond that incorporates the right (but not the obligation) of any investor in the bond to “exchange” the bond for a default-free bond of the same issuer and maturity when combined with a centrally-cleared Credit Default Swap, with markets made in the exchanged bond as well. New bond expected to reach the market in 2017.

KMV, San Francisco, co-founder and Chairman, 1989 – 2003: strategy, marketing, client service of firm providing corporate credit analytics to global financial institutions via the internet, that entailed proprietary extensions of options pricing theory and portfolio theory. Firm was sold to Moody’s in 2002.

Hall
of Fame



CONGRATULATIONS

MARY J. MILLER

T. Rowe Price congratulates **Mary Miller** for her extraordinary commitment and contributions to the fixed income industry and her induction into the **2018 Fixed Income Analysts Society Hall of Fame.**

troweprice.com



Congratulations

JOHN "MAC" MCQUOWN

Co-founder of Diversified
Credit Investments

MARY J. MILLER

Former Under Secretary for
Domestic Finance at the U.S. Treasury

Former Director of Fixed Income at
T. Rowe Price Group

MARK R. SHENKMAN

Founder and President of
Shenkman Capital
Management, Inc.



MARY J. MILLER

*Former Under Secretary for Domestic Finance at the U.S. Treasury
Former Director of Fixed Income at T. Rowe Price Group*

Mary John Miller served as the U.S. Treasury's Under Secretary for Domestic Finance from March 2012 to September 2014. She was responsible for Treasury debt management, fiscal operations, recovery from the financial crisis, and implementation of the Dodd-Frank financial reform legislation. From February 2010 to March 2012, she served as Assistant Secretary for Financial Markets, where she was responsible for conducting Treasury auctions and monitoring all financial markets for the Treasury Secretary. She was confirmed by the U.S. Senate to serve in both positions. On her retirement from the Treasury she received the Alexander Hamilton Award for Distinguished Service.

Prior to her public service, Ms. Miller spent 26 years in the investment management industry with the T. Rowe Price Group in Baltimore, MD. She was the Director of the Fixed Income Division from 2004 through 2009. She also served on the firm's Management Committee, Asset Allocation Committee, and as a trustee of the T. Rowe Price Foundation.

Ms. Miller currently serves as a director on the boards of the Silicon Valley Bank Financial Group (Santa Clara, CA) and The Jeffrey Company (Columbus, OH). From 2014 to 2018 she also served as a director of ICE Benchmark Administration in London. In addition, she is a trustee of Cornell University (Ithaca, NY) and The Urban Institute (Washington, DC), and a senior fellow at The Johns Hopkins University 21st Century Cities Initiative (Baltimore, MD).

Ms. Miller earned a B.A. from Cornell University and a Master of City and Regional Planning from the University of North Carolina at Chapel Hill. She is also a Chartered Financial Analyst. She resides in Baltimore, MD and is married with two sons.

Hall
of Fame



Congratulations

Mark Shenkman, MBA '67

on your induction into the
Fixed Income Analysts Society Hall of Fame.

*Your leadership and distinguished career inspire
our students to reach new heights. Thank you for
all that you do in support of your alma mater.*

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WASHINGTON, DC

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FIXED INCOME ANALYSTS SOCIETY, INC.



MARK R. SHENKMAN

Founder and President of Shenkman Capital Management, Inc.

Mark R. Shenkman founded Shenkman Capital Management, Inc. III 1985, one of the first independent high yield investment managers. He serves as the firm's Chairman, President and Co-Chief Investment Officer. With 48 years of investment experience, 40 years of which have been focused on leveraged finance, he is considered one of the original pioneers of the high yield bond and loan markets. He began investing in high yield securities in 1977 as the portfolio manager of Fidelity's first high yield bond mutual fund. He established one of Wall Street's earliest departments dedicated to the research, selling and trading of high yield securities at Lehman Brothers Kuhn Loeb in New York as Vice President of the High Yield Bond Department. Prior to founding Shenkman Capital, he was President and Chief Investment Officer of First Investors Asset Management in New York, which managed the largest high yield mutual fund at the time.

He is a co-author of two textbooks on the high yield market, entitled *High Yield Bonds: Market Structure, Portfolio Management and Credit Risk Modeling* (McGraw Hill, 1999) and *Leveraged Financial Markets* (McGraw Hill, 2010).

Additionally, Mr. Shenkman is actively involved in not-for-profit and philanthropic activities, including as an Emeritus member of the Board of Directors of the University of Connecticut Foundation and former Chairman of the Board; a Board of Trustee Emeritus at The George Washington University; Vice Chairman of the Board at Wilbraham & Monson Academy since 1969; and as a Board of Advisor Emeritus at the College of William and Mary, Mason School of Business. He is currently the Treasurer of the Union League Club of New York.

Mr. Shenkman received a BA in Political Science from the University of Connecticut (1965) and an MBA from The George Washington University (1967). He served as a First Lieutenant in the U.S. Army Computers Systems Command (1967-1969). Mr. Shenkman also received a Doctor of Humane Letters, honoris causa, from the University of Connecticut (2007).

Hall
of Fame

THANK YOU

for your support of the Fixed Income Analysts Society, Inc. Hall of Fame Ceremony.

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New York Life is proud to support FIASI.

A hearty congratulations to...

Mark R. Shenkman

Our friend and colleague

Founder and President of
Shenkman Capital Management, Inc.

John "Mac" McQuown

Co-Founder of Diversified
Credit Investments

Mary J. Miller

Former Under Secretary for
Domestic Finance at the
U.S. Treasury

Former Director of Fixed Income
at T. Rowe Price Group

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FIXED INCOME ANALYSTS SOCIETY, INC.

CORPORATE SUSTAINING MEMBERS

The logo for Fitch Ratings, featuring the word "Fitch" in red and "Ratings" in black.The logo for KBRA Kroll Bond Rating Agency, with "KBRA" in white on a black background and "KROLL BOND RATING AGENCY" in black on a yellow background.The logo for Moody's, with the word "MOODY'S" in blue.The logo for S&P Global Ratings, with "S&P Global" in red and "Ratings" in black.

Insight beyond the rating.

SUSTAINING MEMBER BENEFITS

- » Partnering with FIASI helps us accomplish our educational mission while providing companies with support and tangible benefits intended to help achieve important business objectives.

TANGIBLE SAVINGS

- » 5 complimentary memberships and waived non-member Speaker Series attendance fees
- » 33% discount on the purchase of a Hall of Fame table and advertising

BRAND BUILDING, MARKETPLACE LEADERSHIP AND RISK MANAGEMENT

- » Institutional branding and profile building via FIASI's website, Hall of Fame dinner and other activities targeted directly with fixed income market participants
- » Opportunities to present firm views at well attended Speaker Series events raise awareness of firms' employees and products across the fixed income community
- » Direct connectivity to many of the leading innovators in the fixed income arena
- » Access to FIASI members, event speakers and related content provides independent, third party perspectives that can challenge or validate critical in-house views related to asset allocation and risk management
- » Representation on the Board of Directors, if approved, provides the ability to influence the future direction of the organization

RECRUITING

- » Participation in exclusive FIASI-organized career day seminars at top NYC business schools provides targeted connectivity with entry level recruits specifically interested in fixed income

Combined tangible and intangible values represent a multiple of the membership fee. Please contact any FIASI board member or Lauren Nauser at nauser@fiasi.org for more details.

Hall of Fame



BAIRD

Imagine

The Power of Dedication

We're proud to recognize Mark Shenkman, John "Mac" McQuown and Mary J. Miller on their induction into the 2018 Fixed Income Analysts Society Hall of Fame.

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Their leadership in the industry inspires us all.

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SEWARD & KISSEL LLP

Seward & Kissel congratulates
Mark R. Shenkman, John "Mac" McQuown
and Mary J. Miller on their induction into
The Fixed Income Analysts Society Hall of Fame.

Seward & Kissel LLP, founded in 1890, is a leading U.S. law firm
with an international reputation for excellence.

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Hall of Fame

shared commitment

Bank of America Merrill Lynch is proud to support the Fixed Income Analysts Society. Congratulations to Mark R. Shenkman and all Hall of Fame inductees on your well-deserved recognition.

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The power of global connections™

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Covenant *Review*

Salutes our Friend

Mark R. Shenkman

Market Visionary

Family Man

Philanthropist

Mentor

Trusted Steward

Congratulations on your well-deserved induction into the FIASI Hall of Fame!

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FIXED INCOME ANALYSTS SOCIETY, INC.

MEMBERSHIP BENEFITS

The Fixed Income Analysts Society is comprised of professionals who value the opportunity to learn, network and discuss important fixed income topics with colleagues across the industry. We draw from all disciplines – buy side, sell side, intermediaries, quants and academics – and all sectors – credit, rates, securitized products, foreign exchange, emerging markets, municipal finance and commodities. Seasoned professionals as well as those new to the industry will reap the benefits of FIASI membership.

- » FIASI sponsors frequent, high quality Educational Programs and Workshops covering topics of current interest to research analysts, portfolio managers and other fixed income professionals. Attendance is free for members.
- » Exclusive networking opportunities are available with other fixed income market participants during cocktail receptions prior to all programs. These events provide a more informal setting to share information, build relationships and expand your professional network.
- » Join your colleagues and industry luminaries at FIASI's Annual Hall of Fame Dinner and Induction Ceremony — FIASI's premier event where leaders in the industry honor lifetime achievements of outstanding fixed income practitioners. Members receive a substantial discount on individual tickets.
- » Members have the opportunity to participate as an Expert Speaker at a FIASI-sponsored educational program to gain greater visibility in the industry.
- » FIASI's Close of Year Party (Annual Meeting and New Members Reception) in June — a favorite event of FIASI's founding members — offers the opportunity to recognize the contributions of the Board of Directors, elect and welcome the new Board and get acquainted with fellow members.

MARK SHENKMAN

Congratulations on your induction into the
Fixed Income Analysts Society Hall of Fame.

This is an honor well deserved.

Best wishes,

Cathy Duffy

Hall
of Fame

Congratulations to
John "Mac" McQuown,
Mary J. Miller and
Mark R. Shenkman on
your induction into the
FIASI Hall of Fame.

credit-suisse.com

Congratulations

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John "Mac" McQuown,
co-founder of KMV

*...You've been in the
Moody's Hall of Fame
since 2002*

Moody's Corporation congratulates **John, Mary Miller and Mark Shenkman**
on their induction into the 2018 Fixed Income Analysts Society's Hall of Fame

Moody's

UPCOMING EVENTS

JUNE 27, 2018

Annual Meeting & Celebration

OCTOBER 18, 2018

BlackRock's Aladdin® team to present on advances in portfolio analytics

LATE FALL, 2018

Women in Fixed Income (WiFI-NYC) | In conjunction with BlackRock

Please see www.fiasi.org for our continually updated schedule.

RECENT EVENTS

APRIL 2018

FitchRatings: Disruption Holds the Key

Jack Kranefuss, David Peterson, Patrice Cucinello | FitchRatings

MARCH 2018

Credit Research & Data Insight at Bloomberg

Joel Levington, Keith David, Noel Hebert, Arnold Kakuda, Gregg Klein, Sarah Kline, Jaimin Patel | Bloomberg, LP

JANUARY 2018

The Year Ahead

Bob Michele | JP Morgan Asset Management

DECEMBER 2017

Holiday Party

Richard Sylla, Professor Emeritus of Economics at the Stern School of Business | NYU Discussed "Alexander Hamilton on Fiscal Responsibility"

NOVEMBER 2017

Recent Trends and Developments in Distressed Debt Investing

Sarah Gefter, Jason Sanjana, Mark Fischer | Reorg Research

Hall
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EISNERAMPER



EisnerAmper LLP congratulates
Mark R. Shenkman,
Founder & President of
Shenkman Capital
Management, Inc.
on his induction into the
**Fixed Income
Analysts Society's
Hall of Fame**

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EisnerAmper.com/FS

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FIXED INCOME ANALYSTS SOCIETY, INC.

RECENT EVENTS (CONTINUED)

Global Infrastructure – Lessons Learned and Market Outlook

Andrew Ancone | Macquarie Capital; Dan Champeau, & Cherian George | FitchRatings; Tom Rousakis | EY Infrastructure Advisors, LLC

OCTOBER 2017

Lunch with Jack Bogel | Chairman Emeritus of Vanguard

Daniel Fuss gives his views on the market | Loomis, Sayles & Company

SEPTEMBER 2017

Fixed Income Investing – Challenges and Opportunities

Gregory Peters | PGIM Fixed Income

Also we have held several informal mentorship lunches.

Goldman Sachs proudly congratulates

Mr. John “Mac” McQuown

Co-founder of Diversified Credit Investments

Ms. Mary J. Miller

Former Under Secretary for Domestic Finance at the U.S. Treasury
Former Director of Fixed Income at T. Rowe Price Group

Mr. Mark Shenkman

Founder and President of Shenkman Capital Management, Inc.

on being inducted into the
Fixed Income Analysts Society Hall of Fame



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Hall
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Inspired by your leadership

Barclays congratulates the 2018 Hall of Fame inductees for their commitment to FIASI and the fixed income community.



WELLS
FARGO

SECURITIES

Congratulations

Wells Fargo Securities would like to congratulate this year's hall of fame inductees:

Mark Shenkman

Founder and President of
Shenkman Capital
Management, Inc.

Mary J. Miller

Former Under Secretary for
Domestic Finance at the
U.S. Treasury and
Former Director of Fixed
Income at T. Rowe Price Group

John "Mac" McQuown

Co-founder of Diversified
Credit Investments

Together we'll go far



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FIXED INCOME ANALYSTS SOCIETY, INC.

FIASI PAST PRESIDENTS

2017-2018	Robert Slowko	1994-1995	Mary Anne Sudol
2015-2017	Henry Shilling	1993-1994	Clifford M. Griep
2014-2015	Mark Howard, CFA	1992-1993	Caroline J. Smith
2012-2014	Ralph A. DeCesare, CFA, CAIA	1991-1992	John D. Finnerty, PhD.
2011-2012	Mark Howard, CFA	1990-1991	Loretta J. Neuhaus
2009-2011	David W. Munves, CFA	1989-1990	Roy Weinberger, CFA
2008-2009	Alex Golbin, CFA	1988-1989	Andrew J. Kalotay
2007-2008	Mariarosa Verde	1987-1988	Robert Levine, CFA
2006-2007	John D. Finnerty, PhD.	1986-1987	Penelope O. Adelman
2005-2006	Steven D. Berkley	1984-1986	Martin S. Fridson, CFA
2004-2005	Diane Vazza	1983-1984	Robert Angevine
2003-2004	Sean C. Keenan, PhD.	1982-1983	Michael K. Dahood, CFA
2002-2003	John H. Dolan	1981-1982	Alfred G. Mazzorana
2001-2002	Nancy Stroker, CFA	1980-1981	Betty Buckley
1999-2001	Joseph B. Sindelar, Jr., CFA	1979-1980	B. Daniel Evans, Jr.
1998-1999	Lawrence W.D. Taylor, CFA	1978-1979	H. Russell Fraser
1997-1998	Margaret M. Cannella	1977-1978	Richard S. Wilson
1996-1997	Jack Malvey, CFA	1976-1977	H. Russell Fraser
1995-1996	Mark R. Girolamo		

ABOUT FIASI

The Fixed Income Analysts Society, founded in 1975, is a not-for-profit professional organization dedicated to the education of its membership and the fixed income community at large. FIASI serves its members by sponsoring educational programs and workshops covering topics of current interest and presented by distinguished industry leaders. The organization also provides valuable networking and mentoring benefits for its members as well as students aspiring to careers in fixed income. At its annual Hall of Fame induction ceremony, FIASI celebrates the lifetime achievements of Fixed Income industry visionaries.

Hall
of Fame

Fitch Ratings congratulates

**John “Mac” McQuown, Mary Miller, and
Mark Shenkman**

and joins FIASI in honoring their significant contributions to the advancement of fixed income security and portfolio analysis.



FitchRatings

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Jefferies High Yield Team
Congratulates

Mark R. Shenkman

Founder and President of
Shenkman Capital Management, Inc.

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FIXED INCOME ANALYSTS SOCIETY, INC.



Schulte Roth & Zabel proudly
supports **FIASI** and its mission
to provide opportunities for
young professionals

Congratulations to tonight's honorees
Mark R. Shenkman, John McQuown and
Mary J. Miller

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The Shenkman Capital Team
proudly congratulates our
Founder and President

MARK R. SHENKMAN

on his induction into the

Fixed Income Analyst Society Hall of Fame

in recognition of his role as a
pioneer in the high yield market.

